

10/593651

1AP9/Rec'd PCT/PTO 21 SEP 2006

Docket No. 833-139 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Customer No.
FONTANA et al.	:	26817
	:	
International Patent Application	:	Group Art Unit: TBD
No. PCT/EP2005/002190	:	
	:	
Serial No. Herewith	:	Examiner: TBD
	:	
Filed: Herewith	:	Confirmation No. TBD
	:	
Title: A PROCESS FOR THE SEMISYNTHESIS	:	
OF DESERPIDINE	:	
	:	
	:	x

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, Applicant hereby brings to the attention of the United States Patent and Trademark Office the following documents of which Applicant has been made aware. The documents are listed on the attached PTO Form 1449.

Pursuant to the OG Notice of August 5, 2003 for U.S. national applications filed after June 30, 2003, the requirement for submitting a copy of each cited U.S. patent and each cited U.S. patent application publication as this requirement is waived, copies of the U.S. patents and U.S. patent application publications are not submitted. Copies of foreign patent documents and non-patent literature, if cited, are enclosed.

Applicant submits that this Information Disclosure Statement is submitted prior to a first action on the merits.

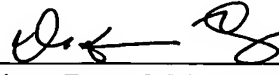
Serial No. Herewith

10/593651
IAP9/Rec'd PCT/PTO 21 SEP 2006
Docket No. 833-139 US

It is requested that the references be considered by the Examiner and be made of record as part of the available prior art under 37 C.F.R. § 1.104.

Respectfully submitted,

Dated: September 21, 2006



Diane Dunn McKay, Esq.
Reg. No. 34,586
Attorney for Applicant

MATHEWS, SHEPHERD, McKAY & BRUNEAU, P.A.
29 Thanet Road, Suite 201
Princeton, NJ 08540
Tel: 609 924 8555
Fax: 609 924 3036

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

ATTY DOCKET NO.

833-139 US

APPLICATION NO.

Herewith

APPLICANT(S)
FONTANA et al.

FILING DATE

Herewith

GROUP ART UNIT

TBD

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	GB 809,913	03/1959	GB				
	GB 868,478	05/1961	GB				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Sakai et al., "The Chemical Transformation to Deserpidine", Heterocycles, vol. 10, 1978, pages 67-71.
		Tamiz et al., "Structure-Activity Relationship for a Series of 2-Substituted 1,2,3,4-Tetrahydro-9H-Pyrido[3,4-b]Indoles: Potent Subtype-Selective Inhibitors of N-Methyl-D-Aspartate (NMDA) Receptors", Bioorganic & Medicinal Chemistry Letters, vol. 9, 1999, pages 1619-1624.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.